115W

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ser. No.: JUL 1 4 2006 Filed: For: Scott Allan Kendall, et al.

10/519,036 12/22/2004

APPARATUS AND METHOD FOR PROVIDING USER

SELECTABLE ALERT MODES FOR A TELEVISION SIGNAL

**RECEIVER** 

## **INFORMATION DISCLOSURE STATEMENT**

	·					
[X]1	Pursuant to 37 CFR 1.97(b) [within 3 months of filing or prior to 1st Office Action]					
[]2	Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]					
[]3	Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]					
[]4	Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) [before issue fee payment]					
	ommissioner for Patents Iria, VA 22313					
Sir:	The following are submitted in the above identified amplication in a surel'enter					
with 37	The following are submitted in the above-identified application in compliance CFR 1.97 & 1.98:					
[XX] 5	A list of documents on form PTO-1449 together with copies of two of the identified documents and a translation or a concise explanation of each non-English language document is enclosed herewith.					
This pap	per is submitted in accordance with:					
[X] 6	37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]					
[]7	37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and					
	[ ] 8 The required certification made in item 11 below; or					
	[ ] 9The \$180.00 fee specified in 37 CFR \$1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.					
[]10	37 CFR§ 1.97(d): [before issue fee payment]; and					
	(a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch, Date of Allowance"), and					

The required Certification is stated in item 11 below.

## []11 Certification

- [ ] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- []13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [XX] 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted, Scott Allan Kendall, et al.

Reitseng Vin, Altorney Registration No. 42,804

(609) 734-6813

Thomson Licensing, Inc.

Two Independence Way, Suite 200

7/11/06

Princeton, New Jersey 08543-0028

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Commissioner of Patents and Trademarks, Alexandria, VA 22313 on the date indicated below.

1106 Signature Til

PTO/SB/08a (08-03)

December 22, 2004

PU020317

Scott Allan Kendall, et al.

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Pacework Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1997/PTO

Complete if Known

Application Number 10/519,036

First Named Inventor

Filing Date

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

(Use as many sheets as necessary)

And Unit

Examiner Name

Attorney Docket Number

			U.S. PATENT	OCUMENTS		
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials *	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY			
	AA	US-2001/030664	10-18-2001	Shulman, et al.		
	AB	US- 6,278,375	8-21-2001	Hucker		
		US-		·		
	1	US-			•	
		US				
	† — —	US-				
		US-		<u> </u>		
	1	US-		<u> </u>		
	*	US-		<u> </u>		
		US-				
		US-				
		US-				
		US-				
	1 -	US-				
		US-				
	<u> </u>	US-				
	1	US-				
		US-		<u> </u>		
		US-		<u> </u>		
		US-			I	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	67.	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>6</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document		Т <sup>6</sup>	
	AC	EP 1 143 394 A	10-10- 2001	Matsushita Electric Industrial Co., Ltd.	·		
	AD	JP200226833	01-25- 2002	Toshiba Corporation		X	
•							
	<del>                                     </del>					l	

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO/SB/08b(08-03)

Substitute for form 1449 FEBRETON			/	Complete if Known			
				Application Number	10/519,036		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			CLOSURE	Filing Date	December 22, 2004		
			PPLICANT	First Named Inventor	Scott Alian Kendall, et al.		
				Art Unit			
(Use as many sheets as necessary)			necessary)	Examiner Name			
Sheet	12	of	2	Attorney Docket Number	PU020317		

NON PATENT LITERATURE DOCUMENTS					
Examiner	Cite No. 1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
mitais	140.	Copy of Supplementary European Search Report dated August 19, 2005.			
•					
			1		
			<u> </u> -		
	1.				
	<u></u>	Date	<u> </u>		

Examiner Signature	Date Considered	·	:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by This collection of information. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND TEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. 1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.